

CAIDP Update 4.09

November 7, 2022



CAIDP Conversations

Data activism, implicit bias, and AI ethics



Speaker: **Renée Cummings**,
Professor of the Practice in Data
Science, University of Virginia

Join us on **November 10th**
at **10 am EDT**



Moderator: **Lorraine Kisselburgh**,
PhD, Purdue University and CAIDP

Registration: [CAIDP.org/events](https://caidp.org/events)

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AI POLICY NEWS

[EU Council Presents Final EU AI Act Text](#)

EURACTIV [reports](#) that the Czech Presidency of the Council of the European Union has presented the [final version of the AI Act](#). The AI Act is scheduled to be approved by the Committee of Permanent Representatives on 18 November, and to be adopted by EU ministers at the Telecom Council meeting on 6 December.

[CAIDP, EU AI Act](#)

[44th Global Privacy Assembly's Resolution on Facial Recognition Technology is Now Available!](#)

Over 120 data protection authorities from around the world [adopted a resolution](#) regarding the appropriate use of personal information in facial recognition technology at the [Global Privacy Assembly](#), the worldwide conference of privacy commissioners. For organizations seeking to make use of this technology, authorities have outlined six principles and expectations. These include lawful basis, reasonableness, necessity and proportionality, protection of human rights, transparency, accountability, and data protection.

[CAIDP, Ban Facial Surveillance Technology](#)

[NLRB Releases Memo on Unlawful Surveillance and Automation](#)

The National Labor Relations Board's (NLRB) General Counsel Jennifer Abruzzo [announced](#) her focus on electronic monitoring and algorithm-driven management in a [new memo](#), committing to establishing a new legal framework to protect workers' rights from abuse of workplace technologies under federal labor law. "Close, constant surveillance and management through electronic means threaten employees' basic ability to exercise their rights," Abruzzo said in the memo.

[CAIDP, AI Bill of Rights](#)

[EU Council Focuses on Protecting the Personal Data of Platform Workers](#)

The third compromise text, [seen by EURACTIV](#), from the Czech presidency of the EU Council on the platform workers' directive emphasizes the importance of algorithmic management and the safeguarding of personal data of workers. The new text adds an article dedicated to the limitations of processing personal data by means of automated monitoring or decision-making systems. It also highlights that workers do not always "freely" consent to processing their personal data.

Singapore's Chief Justice Indicates that AI Will Not Be Used In Sentencing In the Foreseeable Future

Singapore's criminal courts are unlikely to use AI tools in sentencing for some time, reports the Straits Times. "The use of AI in criminal cases requires careful consideration... I do not at this time see us moving in this direction in the criminal justice field within the foreseeable future," said Chief Justice Sundaresh Menon.

CAIDP, AI and Democratic Values

CAIDP ACTIONS

CAIDP Urges US National AI Advisory Committee to Comply with Federal Advisory Committee Act

CAIDP team wrote to the National Artificial Intelligence Advisory Committee (NAIAC) regarding the NAIAC's failure to comply with the Federal Advisory Committee Act. In our web page, we have addressed the concerns outlined in this letter. We intend to closely monitor the situation.

CAIDP, US National AI Advisory
Committee



CAIDP Addresses 44th Global Privacy Assembly

Several members of CAIDP participated in the 44th Global Privacy Assembly, organized by the KVKK in Istanbul, Turkiye. Merve Hickok and Marc Rotenberg spoke on a panel regarding the privacy risks of facial recognition technology. Alessandro Mantelero delivered a keynote speech on the privacy challenges of big data. Gianclaudio Malgieri discussed privacy and data protection in the context of the metaverse and blockchain. Edward Bertoni and Patricia Aduesi Poku examined mechanisms developed for cross-border data transfers. The former

privacy commissioner of Japan, [Masao Horibe](#) also participated in the conference.

CAIDP, Global Academic Network

Campaign Launched to Ban Facial Surveillance Technology

CAIDP has launched a campaign to ban facial Surveillance Technology. In the 2022 report [[Artificial Intelligence and Democratic Values](#)] CAIDP stated these are "sophisticated image processing systems, designed specifically to identify individuals in public spaces by name. In some countries, this system of unique identification is then tied to elaborate government databases for scoring people based on their allegiance to the government in power." CAIDP recommended that countries halt the use of facial recognition for mass surveillance. The campaign to Ban Facial Surveillance Technology is open to signature by individuals and organizations.



CAIDP, Ban Facial Surveillance Technology

CAIDP, AI and Democratic Values

Support the Campaign

Civil Society Statement on the Council of Europe Treaty on AI

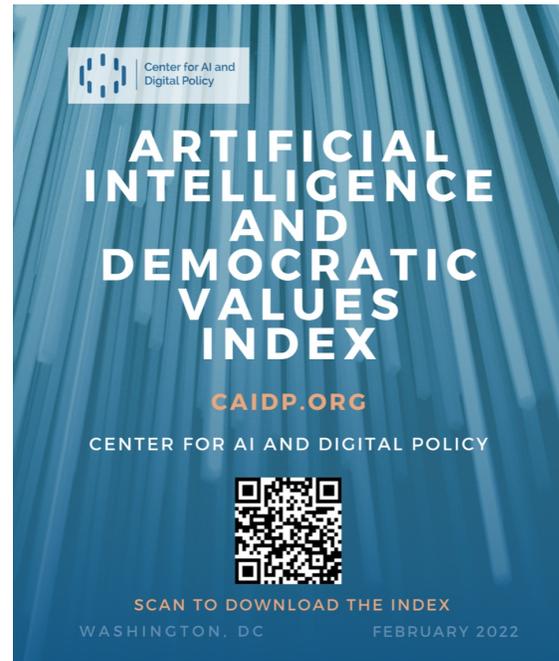
Several Civil Society organizations, including CAIDP, responded to the EU statement directed toward the members of the Council of Europe (CoE) Committee on AI (CAI) and Observers (which includes Civil Society Organizations) regarding the upcoming/draft COE Convention on Artificial Intelligence.



CAIDP, Council of Europe AI Treaty

Read the Joint Statement

CAIDP's Artificial Intelligence and Democratic Values Index evaluates national AI policies and practices.



[Country Focus: Spain](#)

Spain has developed a strong ethics-focused national AI strategy, created an independent AI advisory council, enacted a Charter for Digital Rights, and strongly endorsed the EU's policies and statements on ethical AI as well as the OECD AI Principles. On issues of data privacy, Spain has expanded upon the EU's General Data Protection Regulation (GDPR) and issued a more robust human rights centered law. This precedent shows that Spain is capable of undertaking initiative beyond what is required by the EU. Spain has not explicitly endorsed the Universal Guidelines for AI (UGAI), but the national AI strategy reflects elements of the UGAI. The decision in 2021 to establish a legal right for algorithmic transparency is an AI policy milestone.

CAIDP NEWS

[Stuart Russell is a member of CAIDP's Global Academic Network](#)

Stuart Russell received his B.A. with first-class honours in physics from Oxford University in 1982 and his Ph.D. in computer science from Stanford in 1986. He then joined the faculty of the University of California at Berkeley, where he is Professor (and formerly Chair) of Electrical Engineering and Computer Sciences and holder of the Smith-Zadeh Chair in Engineering.



He is also an Adjunct Professor of Neurological Surgery at UC San Francisco and Vice-Chair of the World Economic Forum's Council on AI and Robotics.

Russell is a recipient of the Presidential Young Investigator Award of the National Science Foundation, the IJCAI Computers and Thought Award, the World Technology Award (Policy category), the Mitchell Prize of the American Statistical Association and the International Society for Bayesian Analysis, the ACM Karlstrom Outstanding Educator Award, and the AAAI/EAAI Outstanding Educator Award. In 1998, he gave the Forsythe Memorial Lectures at Stanford University and from 2012 to 2014 he held the Chaire Blaise Pascal in Paris. He is a Fellow of the American Association for Artificial Intelligence, the Association for Computing Machinery, and the American Association for the Advancement of Science.

Analyses From Members of the CAIDP Global Academic Network

Banning Lethal Autonomous Weapons: An Education

"Lethal autonomous weapons systems—commonly but misleadingly known as “killer robots”—are weapons systems that, once activated, can attack objects and people without further human intervention. With more than a dozen nations working to develop highly capable versions of them for use in the air, at sea, and on land, these weapons are not science fiction: they exist now, and they are already being used in some current conflicts." writes Stuart Russell.

AI POLICY CLINICS

The Center has launched a

comprehensive [certification program](#) for AI Policy. The certification program is an outgrowth of the work of the Research Group and includes requirements for research, writing, and policy analysis. Research Group members who fulfill the [requirements](#) will be awarded with the AI Policy Certificate.



CAIDP offers [several AI policy clinics](#). The clinics are intensive, interdisciplinary, semester-long courses, established to teach future leaders in the AI policy field skills in policy analysis, research, evaluation, team management, and policy formation. Topics covered include AI History, AI Issues and Institutions, AI Regulation and Policy Frameworks, and Research Methods.

GAIDP Policy Clinic remains free, empowering, and inclusive with a growing number of researchers worldwide. In our Fall 2022 cohort, we have more than 200 participants from 60 countries with extraordinary backgrounds in academics, policy, ethics, law, administration, business, and governance.

CAIDP is now [accepting applications for the Spring 2023 semester](#). The deadline is November 15, 2022.

APPLY

AI POLICY EVENTS

- [CAIDP Conversation](#) with Renée Cummings, November 10, 2022
 - [The Athens AI Roundtable](#), The Future Society, December 1-2, 2022
 - [CAIDP Conversation](#) with Professor Anu Bradford, author of the Brussels Effect, December 8, 2022
 - [Call for Panels CPDP2023](#) – Ideas That Drive Our Digital World - Deadline November 25
-

CAIDP Global AI Policy Leader Awards

In February 2023, CAIDP will give out [awards](#) to Global AI Policy Leaders in Academia, Business, Civil Society, and Government.

Individuals will be recognized who have made outstanding contributions to the development and implementation of AI policies that advance fundamental rights,

democratic values, and the rule of law. Following the [CAIDP mission statement](#), we will consider also their support for inclusion, fairness, and justice. And we will highlight their notable achievements.

We seek your help to identify, recognize, and elevate these truly exceptional people. Please [send your nominations](#) by December 15, 2022.



The banner features a blue background with a white central oval. On the left is a yellow trophy icon with a star. The text inside the oval reads: "Center for AI and Digital Policy" with a logo of vertical bars, "CAIDP Global AI Policy Leader Award February 2023", "Academia, Business, Civil Society, and Government", and "Nominations due: December 15, 2022". Below the oval is the social media handle "@theCAIDP caidp.org". To the right is a QR code with a yellow arrow pointing to it and the text "scan to download the form".



The banner has a dark background with a starry space pattern. The text "STEFANO RODOTÀ" is in large yellow letters, and "DATA PROTECTION AWARD 2023" is in large white letters. On the right side, there is a photograph of Stefano Rodotà, an elderly man in a suit, gesturing with his hands.

Apply for the 2023 Stefano Rodotà Award!

On your marks, ready?

You have less than two months to submit your application and, perhaps, access a unique award. Dare, take the time, [apply!](#)

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COMPUTERS, PRIVACY & DATA PROTECTION
#CPDP2023 💡 IDEAS THAT
DRIVE OUR DIGITAL WORLD

Ideas that drive our digital world. The accompanying Call for Panels is out now, the deadline for [submission](#) is November 25th!

SUPPORT CAIDP

CAIDP aims to promote a better society, more fair, more just—a world where technology promotes broad social inclusion based on fundamental rights, democratic institutions, and the rule of law. Your contribution will help us pursue this mission.

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