

## CAIDP Update 4.04

*October 3, 2022*

**FEATURED: CAIDP Conversations, Surveillance State: Inside China's Quest to Launch a New Era of Social Control, October 13, 2022**

We invite you to a conversation with award-winning journalists Liza Lin and Josh Chin about their new book, Surveillance State: Inside China's Quest to Launch a New Era of Social Control. This event will be moderated by Len Kennedy.



 Center for AI and Digital Policy

### CAIDP Conversations

Surveillance State: Inside China's Quest to Launch a New Era of Social Control

 Speaker: **Liza Lin**, author and reporter, Wall Street Journal

 Speaker: **Josh Chin**, author and reporter, Wall Street Journal

 Moderator: **Len Kennedy**, Adjunct Professor of Law, Cornell University

Join us on **October 13th at 11 am EDT**

Registration: [CAIDP.org/events](https://CAIDP.org/events)  [@theCAIDP](https://twitter.com/theCAIDP)

### AI POLICY NEWS

#### EU Commission Proposes AI Liability Rules

The European Commission adopted two proposals to adapt liability rules to the digital age. First, the Commission proposes to modernise the existing rules on the strict liability of manufacturers for defective products (from smart technology to pharmaceuticals). Second, the Commission proposes targeted harmonisation of national liability rules for AI, making it easier for victims of AI-related damage to get compensation.

[CAIDP, EU AI Act](#)

#### UN Board Endorses AI Ethics Principles

The UN System Chief Executives Board endorsed the Principles for the Ethical Use

[of Artificial Intelligence](#). The Principles are based on the [UNESCO Recommendation on the Ethics of Artificial Intelligence](#) and will guide all phases of AI system lifecycles among UN system organizations.

[CAIDP Update 2.41, UNESCO Adopts Landmark Global Agreement on AI \(November 25, 2021\)](#)

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### [Automating Public Services: Learning from Cancelled Systems](#)

A new report from [Data Justice Lab](#), [Automating Public Services: Learning from Cancelled Systems](#), examines how automated decision-making systems have been cancelled when they have not met their goals, caused severe harm, or caused significant opposition through community mobilization, investigative reporting, or legal action. The report provides the first comprehensive overview of the cancellations of systems across Western democracies.

[Artificial Intelligence and Democratic Values Index - 2021 \(CAIPD 2022\)](#)

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### [Czech Presidency of the EU Council Proposes Specific Requirements for High-Risk AI](#)

The Czech Presidency of the EU Council proposed [specific requirements](#) for high-risk AI for the EU AI Act. The “extra layer” requirement would also limit high-risk AI systems to only those that have a major impact on decision-making. The Czech presidency also put forward proposals to exclude research and development activities related to AI “if and insofar AI systems placed on the market or put into service for military, defence or national security purposes, those should be excluded from the scope of this Regulation.”

[CAIDP, EU AI Act](#)

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### [US to Release Privacy Shield Executive Order](#)

[POLITICO reports](#) that the White House is expected to release its long-awaited executive order on transatlantic data transfers this week. “The order is designed to address European concerns over surveillance practices in the United States and may be signed by President Joe Biden and then published as early as October 3.”

[Marc Rotenberg, “Schrems II, from Snowden to China: Toward a New Alignment on Transatlantic Data Protection.”](#)

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## CAIDP ACTIONS

### [CAIDP President Addresses BEUC Conference: Rethinking Consumer Protection in the Digital World](#)

CAIDP President Marc Rotenberg discussed current efforts to establish new legal norms for AI to safeguard consumers at [the](#)



## European Consumer Organisation (BEUC) Conference: Rethinking Consumer Protection in the Digital World.

EU Commissioner for Competition Margrethe Vestager delivered important remarks on the EU AI Act: "By moving early to set the ground rules for how AI can be used, in particular for 'high-risk' applications, we have a window of opportunity. We can shape how applications are being developed in real time. And because of the weight and strength of our Single Market, it is very likely that this will set another 'gold standard' internationally."



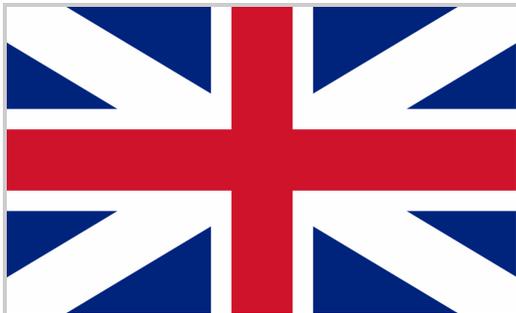
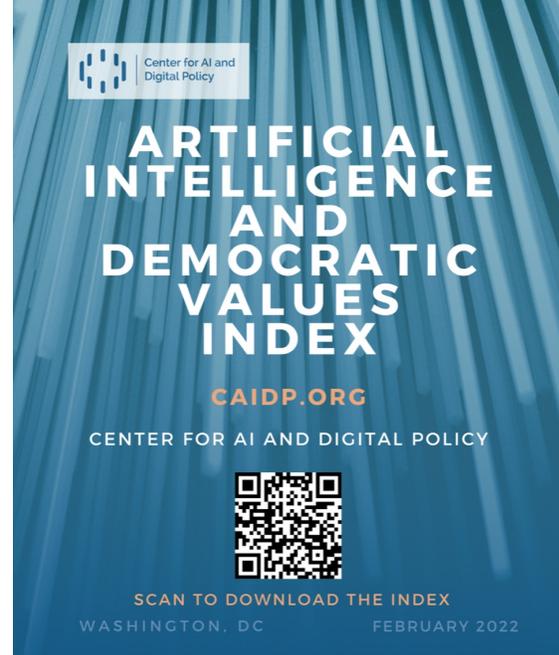
CAIDP Statement to European Parliament on EU AI Act (May 10, 2022)

## CAIDP Hosts Conversation with EEOC Commissioner Keith Sonderling

CAIDP Research Director Merve Hickok talked with the U.S. Equal Employment Opportunity Commission (EEOC) Commissioner Keith Sonderling about AI policy, governance, and principles in employment decisions. Commissioner Sonderling cautioned, "There are so many benefits that are being sold which can help but at the same time, every single one of these technologies has the potential to discriminate if it is not properly designed and carefully implemented."



CAIDP's Artificial Intelligence and Democratic Values Index evaluates national AI policies and practices.



### Country Focus: the UK

The UK has endorsed the OECD/G20 AI Principles, and has a good record on human rights. The UK has established several public bodies that have issued policies and guidance on AI, including the Office for Artificial Intelligence and the Centre for Data Ethics. Although the AI strategy is open and public participation is encouraged, the UK suffered a significant public backlash over the grading controversy in 2020. Laudable strategies such as the development of AI-related workforce and education sector initiatives, as well as the promise of data trusts need to be monitored closely. There is, at the moment, no express support for the Universal Guidelines for AI.

[CAIDP Statement to UK Regarding AI Accountability \(Nov. 17, 2021\)](#)

## CAIDP NEWS

### [Nathalie Smuha Joins CAIDP's Global Academic Network](#)

Nathalie Smuha is a legal scholar and philosopher at the KU Leuven Faculty of Law, where she examines legal, ethical and philosophical questions around Artificial Intelligence (AI) and other digital technologies. Her research focuses

particularly on the impact of AI on human rights, democracy and the rule of law. Nathalie is also the academic coordinator of the KU Leuven Summer School on the Law, Ethics and Policy of AI, and a contributor to the Metaforum Working Group on AI and Media. She is a member of the KU Leuven Institute for Artificial Intelligence ([Leuven.AI](#)) and the [Digital Society Institute](#).



Besides her academic activities, Nathalie regularly advises governments and international organizations on AI policy and regulation. Nathalie's academic work has gained international recognition. In 2021, she was nominated to the list of '100 Brilliant Women in AI Ethics', and to the Santander-CIDOB List of '35 under 35' Future Leaders.

## Analyses From Members of the CAIDP Global Academic Network

### Optus hackers may have your data. Next time they could have your face too

"Most of the time we're not aware of it, but companies and governments are collecting our personal information on an industrial scale in Australia, right now. Increasingly, this includes the most intimate information about us – biometric data derived from our faces. Alarmingly, most of these actions are legal. This is why I and fellow researchers from the University of Technology Sydney today released a proposed Facial Recognition Model Law that would crack down on harmful use of this technology." [writes Edward Santow](#) for The Sydney Morning Herald.

## AI POLICY CLINICS

The Center has launched a comprehensive [certification program](#) for AI Policy. The certification program is an outgrowth of the work of the Research Group and includes requirements for research, writing, and policy analysis. Research Group members who fulfill the [requirements](#) will be awarded with the AI Policy Certificate.



CAIDP offers [several AI policy clinics](#). The clinics are intensive, interdisciplinary,

semester-long courses, established to teach future leaders in the AI policy field skills in policy analysis, research, evaluation, team management, and policy formation. Topics covered include AI History, AI Issues and Institutions, AI Regulation and Policy Frameworks, and Research Methods.

GAIDP Policy Clinic remains free, empowering, and inclusive with a growing number of researchers worldwide. In our Fall 2022 cohort, we have more than 200 participants from 60 countries with extraordinary backgrounds in academics, policy, ethics, law, administration, business, and governance.

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## AI POLICY EVENTS

- [AI Policy Summit](#), ETH Zurich, October 10-14, 2022
- [CAIDP Conversation](#) with Josh Chin and Liza Lin, authors of *Surveillance State: Inside China's Quest to Launch a New Era of Social Control*, October 13, 2022
- [Global Privacy Assembly](#), Istanbul, Turkey, October 23-25, 2022
- [Global Responsible AI Summit](#), Credo AI, October 27, 2022
- [CAIDP Conversation](#) with Renée Cummings, November 10, 2022
- [CAIDP Conversation](#) with Professor Anu Bradford, author of *The Brussels Effect*, December 8, 2022

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### FEATURED: [AI Policy Summit, Future of AI & Democratic Values and Fundamental Rights](#), ETH Zurich, October 12, 2022

RegHorizon and ETH Zurich's Center for Law and Economics have partnered since 2019 to create an unbiased platform for a timely multi-stakeholder discussion among policy makers, academia, business and society focussing on challenges that AI technologies pose and exploring relevant policy solutions to address them.

[The 3rd AI Policy Conference](#) continues the multi-stakeholder dialogue with leading experts to explore the use of public policy and societal engagement to capture the benefits of artificial intelligence, minimize its risks and enhance its adoption.

## October 12<sup>th</sup>, 2022 | Online Future of AI & Democratic Values and Fundamental Rights

### Panel Discussion



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CENTER FOR LAW AND ECONOMICS



Moderator

**Merve Hickok**

CAIDP, USA



**Amb. Thomas  
Schneider**

Swiss Federal Office  
of Communication  
(OFCOM), CAI  
Council of Europe



**Prof. Marc  
Rotenberg**

Center for AI and  
Digital Policy  
(CAIDP)



**Dr. des. Angela  
Mueller**

AlgorithmWatch



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Development (OECD)

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SUPPORT CAIDP

CAIDP aims to promote a better society, more fair, more just—a world where technology promotes broad social inclusion based on fundamental rights, democratic institutions, and the rule of law. Your contribution will help us pursue this mission.



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